

EXPENSE TRANSMITTAL
for FY 2001

Parent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	890.00
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Complete if Known

Application Number	09/416,501
Filing Date	10/08/99
First Named Inventor	Brian S. Doyle
Examiner Name	Ortiz, E
Group Art Unit	2815
Attorney Docket Number	42390P4514D

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as sales, while operating leases are accounted for as rentals.	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as sales, while operating leases are accounted for as rentals.
3. Intangible Assets	Intangible assets are recognized when they are acquired and their fair value can be reliably measured. They are then amortized over their useful life.	Intangible assets are recognized when they are acquired and their fair value can be reliably measured. They are then amortized over their useful life.
4. Goodwill	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.	Goodwill is recognized as the excess of the purchase price over the fair value of the identifiable intangible assets. It is not amortized but is tested for impairment annually.
5. Research and Development	Research and development costs are expensed as incurred.	Research and development costs are expensed as incurred.
6. Employee Benefits	Employee benefits are recognized when the employee has rendered service and the benefit is probable and measurable.	Employee benefits are recognized when the employee has rendered service and the benefit is probable and measurable.
7. Income Taxes	Income taxes are recognized as a liability when they are payable in the current period.	Income taxes are recognized as a liability when they are payable in the current period.
8. Financial Instruments	Financial instruments are recognized when they are acquired and their fair value can be reliably measured. They are then measured at fair value.	Financial instruments are recognized when they are acquired and their fair value can be reliably measured. They are then measured at fair value.
9. Derivatives	Derivatives are recognized when they are acquired and their fair value can be reliably measured. They are then measured at fair value.	Derivatives are recognized when they are acquired and their fair value can be reliably measured. They are then measured at fair value.
10. Other	Other accounting standards are applied consistently and in accordance with the principles of the accounting standards.	Other accounting standards are applied consistently and in accordance with the principles of the accounting standards.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

	Extra	Claims	below	Fee Paid
Total Claims	7	20** =	0 X 18.00 =	\$0.00
Independent Claims	2	3** =	0 X 80.00 =	\$0.00
Multiple Dependent Claims				

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when it is earned and realizable.	Revenue is recognized when it is earned and realizable.
2. Expense Recognition	Expenses are recognized when they are incurred and measurable.	Expenses are recognized when they are incurred and measurable.
3. Asset Measurement	Assets are measured at cost less accumulated depreciation or amortization.	Assets are measured at cost less accumulated depreciation or amortization.
4. Liability Measurement	Liabilities are measured at the best estimate of the amount to be paid.	Liabilities are measured at the best estimate of the amount to be paid.
5. Equity Measurement	Equity is measured as the difference between assets and liabilities.	Equity is measured as the difference between assets and liabilities.
6. Income Statement	The income statement shows the company's performance over a period of time.	The income statement shows the company's performance over a period of time.
7. Balancesheet	The balance sheet shows the company's financial position at a point in time.	The balance sheet shows the company's financial position at a point in time.
8. Cash Flow Statement	The cash flow statement shows the company's cash inflows and outflows over a period of time.	The cash flow statement shows the company's cash inflows and outflows over a period of time.
9. Notes to Financial Statements	Notes provide additional information about the company's financial statements.	Notes provide additional information about the company's financial statements.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent claim
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	0.00
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*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	890
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,240	241	620	Petition to revive - unintentionally	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)	890.00
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SUBMITTED BY

Typed or
Printed Name

Carol F. Barry, Reg. No. 41,600

Signature

Date _____

07/18/01

Complete (if applicable)

Reg. Number

Deposit Account	
User ID	

02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



\$2815

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Approved for use through 10/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	097416501 <i>RECEIVED</i>
		Filing Date	October 8, 1999
		First Named Inventor	Brian S. Doyle
		Group Art Unit	2815 MAIL ROOM
		Examiner Name	Ortiz, E.
Total Number of Pages in This Submission	12	Attorney Docket Number	42390P4514D

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<div>Return postcard.</div>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carol F. Barry, Reg. No. 41,600 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	July 18, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <div>July 18, 2001</div>			
Typed or printed name	Diane Martinez		
Signature		Date	07/18/01

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